



# Derived categories and the geometry of projective varieties

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**UNIVERSITÀ  
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DI MILANO**



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## The setting

1 The interplay between geometry and homological algebra

Let  $X$  be a smooth projective variety (over a field  $\mathbb{K}$ ... secretly  $\mathbb{C}$ ).



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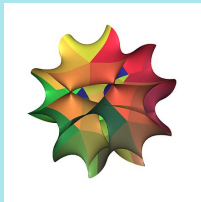
Let  $X$  be a smooth projective variety (over a field  $\mathbb{K}$ ... secretly  $\mathbb{C}$ ).

### Example

Let  $X$  be the zero-locus in  $\mathbb{P}^4$  of

$$x_0^5 + x_1^5 + x_2^5 + x_3^5 + x_4^5 = 0.$$

It is a **Calabi-Yau 3-fold** ( $K_X \equiv 0$ ) which is called **Fermat quintic 3-fold**.





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## The definition

- *Objets*: bounded complexes of coherent sheaves

$$\dots \rightarrow 0 \rightarrow E^i \rightarrow \dots \rightarrow E^{i+n} \rightarrow 0 \rightarrow \dots$$

- *Morphisms*: finite sequences of roofs

$$\begin{array}{ccc} \text{quis} & D_1 & \dots & \text{quis} & D_n \\ \swarrow & \searrow & & \swarrow & \searrow \\ A & A_1 & \dots & A_{n-1} & B. \end{array}$$

Here *quis*=*quasi-isomorphism*=map inducing iso on cohomologies.



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It is **triangulated**:

- We can shift objects ( $E[1]$ );
- *Exact triangles*

$$A \rightarrow B \rightarrow C \rightarrow A[1]$$

play the same role as short exact sequences in  $\text{Coh}(X)$ .

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## Good news

1 The interplay between geometry and homological algebra

There are cases where  $D^b(X)$  proves to be a strong invariant:

### Theorem (Bondal and Orlov, 2001)

Let  $X$  be a smooth projective variety such that  $K_X$  is either ample or antiample. Let  $Y$  be a smooth projective variety such that  $D^b(X) \cong D^b(Y)$ . Then  $X \cong Y$ .





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#### Example (in the negative)

Let  $X$  be the Fermat quintic 3-fold ( $K_X \equiv 0$ ).

The theorem **does not** apply!



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- $D^b(X)$  is **indecomposable**: it does not contain nontrivial admissible subcategories. (Bondal–Orlov, Bridgeland–Maciocia)
- $D^b(X)$  has a rich and mysterious autoequivalence group.  $\text{Aut}(D^b(X))$ . (Mukai, Orlov, Bridgeland, Huybrechts–Macrì–S., Bridgeland–Bayer)



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We need to add more structure to  $D^b(X)$ !



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- ▶ The results: uniqueness of enhancements
- ▶ The results: stability conditions
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# Overcoming the bad news

2 Add more structure!

(A) **Higher categorical enhancements:**  
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## Example: injective resolutions

Let  $X$  be a smooth projective scheme. Take  $\mathbf{Inj}(X)$  to be the category such that

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$$H^0(\mathbf{Inj}(X)) = D^b(X).$$



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- Give a rigorous definition.
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- Construct moduli spaces of such objects.
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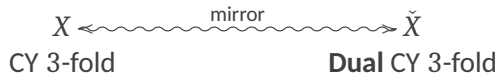
In the rest of the presentation we focus on (A) and (B)!





# Mirror Symmetry: a study case

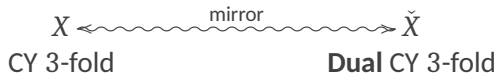
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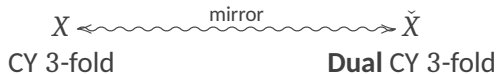
### Idea:

$X$  and  $\check{X}$  are *compactifications* of different string theories (type A and B, resp.).



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### Homological Mirror Symmetry Conj. (Kontsevich)

There is an exact equivalence

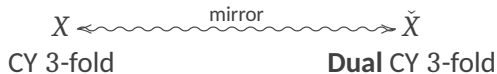
$$D^b(X) \cong \text{DFuk}^\pi(\check{X})$$

(and viceversa:  $X \leftrightarrow \check{X}$ )



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## Rough idea:

$\text{DFuk}^\pi(\check{X})$  is the **Fukaya derived category**: homotopy category of an  $A_\infty$  category  $D_\infty \text{Fuk}^\pi(\check{X})$  whose objects are Lagrangian submanifolds and morphisms are intersection numbers.



## Mirror Symmetry: a study case

2 Add more structure!

*Whereof one cannot speak, thereof one must be silent.*

L. Wittgenstein, Tractatus logico-philosophicus



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- If  $X$  is a CY 3-fold, then  $D^b(X)$  has (conjecturally!) at least two enhancements:
  - the dg category  $\mathbf{Inj}(X)$ ;
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In relation to the first item the following is natural:

### Conjecture (Bondal–Larsen–Lunts)

If  $X$  is a smooth projective variety, then  $D^b(X)$  has a unique enhancement.



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## Enhancements

3 The results: uniqueness of enhancements

### Def. (dg categories)

A **differential graded (dg) category** is a  $k$ -linear category ( $k$  a comm. ring) such that

- $\text{Hom}(A, B)$  is a complex of  $k$ -modules;
- The composition is a morphism of complexes.



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#### Example: injective resolutions

We have already see that if  $X$  is a smooth projective scheme, then  $\mathbf{Inj}(X)$  is a dg category.

It is actually **pretriangulated!** ...roughly:

$$H^0(\text{dg-cat}) \cong \text{triang. cat.}$$



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A **dg functor**  $F: \mathcal{C}_1 \rightarrow \mathcal{C}_2$  is a functor such that

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We then have the following constructions:

- Given a dg functor  $F: \mathcal{C}_1 \rightarrow \mathcal{C}_2$ , we can compute

$$H^0(F): H^0(\mathcal{C}_1) \rightarrow H^0(\mathcal{C}_2).$$

- A dg functor  $F$  is a **quasi-equivalence** if
  - $\Phi_F$  is a quasi-isomorphism;
  - $H^0(F)$  is an equivalence.



# Enhancements

## 3 The results: uniqueness of enhancements

Drinfeld, Kontsevich, Keller,...: one can form the following

$$\begin{aligned} \text{Hqe} &:= \text{dg-Cat}[\text{q-eq}^{-1}] \\ &= \text{loc. wrt quasi-equiv.} \end{aligned}$$

### In practice:

- *Objets*: dg categories;
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An **enhancement** of a triangulated category  $\mathcal{T}$  is a pair  $(\mathcal{C}, F)$  where  $\mathcal{C}$  is a pretriang. dg cat. and  $F: H^0(\mathcal{C}) \rightarrow \mathcal{T}$  is an equivalence.





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#### Def. (uniqueness of enhancements)

A triang. cat has a **unique enhancement** if any two such are isomorphic in  $\text{Hqe}$ .



## Proving the BLL Conjecture

3 The results: uniqueness of enhancements

**BLL Conjecture:** proven by Lunts–Orlov (JAMS, 2010). Additional improvements by: Canonaco–S., Antieau, Genovese. The following covers additional conj./open problems:

### Theorem 2 (Canonaco–Neeman–S.)



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### Theorem 2 (Canonaco–Neeman–S.)

(A) Let  $\mathcal{A}$  be an abelian category. Then  $D^?(\mathcal{A})$  has a unique enhancement, for  $? = +, -, b, \emptyset$ . (+additional variants...)



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- (B) If  $X$  is a quasi-compact and quasi-separated scheme, then  $D_{qc}^?(X)$  and  $\mathbf{Perf}(X)$  have unique enhancement, for  $? = +, -, b, \emptyset$ .



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### Canonaco–Ornaghi–S.

By old and recent results of the three of us, the thm above applies to  $A_\infty$  categories as well, covering the case of  $D_\infty \mathbf{Fuk}^\pi(\check{X})$ .



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# From an example to the definition

4 The results: stability conditions

**Baby example**

**The definition**





## From an example to the definition

4 The results: stability conditions

**Baby example**

$\mathcal{C}$  a smooth projective curve (over  $\mathbb{C}$ )

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The data of:

- *Abelian category:*  $\text{Coh}(C)$ .
- *A stability function:*  $Z_{\text{slope}}: N(C) \rightarrow \mathbb{C}$   
such that

$$Z_{\text{slope}}(-) := -\text{deg}(-) + \sqrt{-1}\text{rk}(-).$$

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The data of:

- The heart  $\mathcal{A}$  of a bounded  $t$ -structure.
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## From an example to the definition

4 The results: stability conditions

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$\text{Stab}_\Lambda(X)$  is a complex manifold of dimension  $\text{rk}(\Lambda)$ ... if  $\text{Stab}_\Lambda(X) \neq \emptyset$ .



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### Warning:

$\text{Stab}_\Lambda(X) \neq \emptyset$  striking and difficult problem! Especially when  $K_X \equiv 0$  and the dim grows.



## Case by case

4 The results: stability conditions

### Theorem (Beauville, Bogomolov)

Assume  $X$  smooth proj. with  $c_1 = 0$ . Up to a finite étale map,  $X$  is isomorphic to a product varieties of the following types:



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- Abelian variety;

#### Definition

$X = \mathbb{C}^n / \Lambda$ , where  $\Lambda \subseteq \mathbb{C}^n$  is rank- $2n$  sublattice lattice + an ample polarization.

#### Example

$X$  an **elliptic curve**. In  $\mathbb{P}^2$

$$x_0^3 + x_1^3 + x_2^3 = 0.$$



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- Abelian variety;
- (Product of) Calabi-Yau varieties;

#### Definition

$X$  simply conn. trivial canonical bundle,  
 $H^i(X, \mathcal{O}_X) = 0$ , for  $0 < i < \dim(X)$ .

#### Example

$X$  the quintic 3-fold.



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- Abelian variety;
- (Product of) Calabi–Yau varieties;
- (Product of) Irreducible holomorphic symplectic manifolds.

#### Definition

$X$  simply connected + trivial canonical bundle +  $H^2(X, \mathcal{O}_X) \cong \mathbb{C}$  generated by an everywhere non-deg. holomorphic 2-form.

#### Example

$\text{Hilb}^n(\text{K3}) =$  Hilbert scheme of length- $n$  0-dim. subschemes of a K3 surface.



## The results

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### Theorem (Bayer–Macrì–S., Invent. Math. 2016)

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More results:

- Additional results on abelian 3-folds by Maciocia–Piyaratne.
- More Calabi–Yau 3-folds: Bayer–Macrì–S., Koseki,...



## The results

### 4 The results: stability conditions

**IHS are more difficult:**  $\dim > 3$  (unless  $X = K3$  surf., studied by **Bridgeland**)!



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### Theorem (Li-Macri-S.-Zhao, in progress)

Let  $n \geq 2$  be an integer. Let  $X$  be a *very general* member of one of the following families

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**Here, at the moment:**

'very general'=infinite dense set containing inf. many very gen. examples in class. sense.



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The case of abelian  $n$ -folds answers a question of Pandharipande.



## Ideas from the proof & future applications

### 4 The results: stability conditions

There are two key ideas from the proof (both of them unfortunately technically difficult to implement):



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- Construct locally complete families of HK of  $\text{Hilb}^n(\text{K3 surface})$ -type (joint with Macrì and Perry).



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- ▶ Add more structure!
- ▶ The results: uniqueness of enhancements
- ▶ The results: stability conditions
- ▶ Applications





# Semiorthogonal decompositions

## 5 Applications

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A **Semiorthogonal decomposition** of  $D^b(X)$   
is a decomposition

$$D^b(X) = \langle \mathcal{A}_1, \dots, \mathcal{A}_n \rangle,$$

where:

- $\mathcal{A}_i$  admissible,
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### Cubic 4-folds

$$D^b(X) = \langle \mathcal{K}u(X), \mathcal{O}_X, \mathcal{O}_X(1), \mathcal{O}_X(2) \rangle.$$

$\mathcal{K}u(X)$  is called **Kuznetsov component**: it  
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### Enriques surfaces

$X$  smooth projective surface  $H^1(X, \mathcal{O}_X) = 0$   
and  $2K_X \equiv 0$ .

$$D^b(X) = \langle \mathcal{K}u(X), L_1, \dots, L_{10} \rangle.$$



# Semiorthogonal decompositions and stability conditions

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- **BLMS+Zhao, Li-Pertusi-Zhao:** For the special stab. cond. in the theorem above:  $F(X) \cong M_\sigma(X)$ =special moduli space of  $\sigma$ -stable objects in  $\mathcal{K}u(X)$  (with Bayer-Macri ample polarization).  
The isomorphism preserve special polarizations.

More is true:





# Semiorthogonal decompositions and stability conditions

## 5 Applications

- Let  $\varphi: H^4(X_1, \mathbb{Z}) \cong H^4(X_2, \mathbb{Z})$  be a Hodge isometry preserving the special classes  $H_1^2$  and  $H_2^2$  ( $H_i$  the hyperplane section).



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Then we reproved:

### Torelli Theorem for cubic 4-folds (Voisin, Invent. Math., 1986)

Let  $X_1$  and  $X_2$  be cubic 4-folds. Then  $X_1 \cong X_2$  iff there is a Hodge iso  $H^4(X_1, \mathbb{Z}) \cong H^4(X_2, \mathbb{Z})$  preserving  $H_i^2$ .